

VMware

vCenter/ESXi

6.5

## Integration Guide

Utimaco ESKM

5.2

**utimaco**<sup>®</sup>

## Imprint

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Document Version	1.0.0
Date	2026-02-03
Status	<b>PUBLISHED</b>
Document No.	IG-2026-0025
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# 1 About this Guide

This guide provides information on how to configure the VMware to work with the Utimaco Enterprise Secure Key Manager (ESKM). It describes only the features in the VMware and the ESKM necessary for the configuration and integration.

For more information on installing an Utimaco Enterprise Secure Key Manager refer to the *Utimaco Enterprise Secure Key Manager Installation and Replacement Guide (Chapter 1 Installing Hardware)*.

## 1.1 Intended Audience

This guide is intended for system and security administrators with knowledge of:

- Data security administration
- Network configuration

## 1.2 Document Conventions

The following conventions are used in this guide:

Convention	Use	Example
<b>Bold</b>	Items of the Graphical User Interface (GUI), e.g., menu options	Select <b>Details</b> and click on <b>Properties</b> button
<code>Monospaced</code>	Code that is given for explanation or as an example, file paths	<code>certreq.exe -new request.inf IISCertRequest.csr</code>
<i>Italic</i>	References and important terms	Operating system listed in <i>Tested Versions</i>

Table 1: Document conventions

We use special icons to highlight the most important notes and information.



Here you will find important safety information that should be followed.



Here you will find additional notes or supplementary information.



This message marks the result expected after the successful execution of an instruction.

## 1.3 Related Documentation

The following documents provide related information:

- *Enterprise Secure Key Manager v5.2 Installation and Replacement Guide*
- *Enterprise Secure Key Manager v5.2 Software Version 7.2.0 Release Notes*
- *Enterprise Secure Key Manager v5.2 User's Guide*

## 1.4 Utimaco Websites

For additional information, see the following Utimaco websites:

- <https://hsm.utimaco.com/products-hardware-security-modules/keymanagement/eskm/>

### 1.4.1 OASIS Websites

In addition to the Utimaco websites, see the OASIS websites for more information on the Key Management Interoperability Protocol (KMIP) specification, usage guides and profiles:

- <https://www.oasis-open.org/standards>
- <https://wiki.oasis-open.org/kmip/KnownKMIPImplementations>

## 1.5 Documentation Feedback

Utimaco welcomes your feedback. To make comments and suggestions about product documentation, please send an email message to:

[support-atalla@utimaco.com](mailto:support-atalla@utimaco.com)

All submissions become the property of Utimaco.

## 2 What's New in the ESKM v5.2 Appliance?

The ESKM v5.2 appliance is a complete solution for generating, storing, serving, controlling and auditing access to data encryption keys. It enables you to protect and preserve access to business-critical, sensitive, data-at-rest encryption keys, either locally or remotely.

## 3 Configuring the ESKM Server

ESKM server must be configured with specific values such as time zone, IP address, netmask, gateway, host name, and port number used for the ESKM Management Console interface.

This section includes procedures on the following topics:

- [First Run](#)
- [Setting Up Local CA](#)
- [Setting Up ESKM Certificate](#)
- [Set Up Cluster](#)
- [Set Up KMIP Server](#)
- [Set Up KMS Server](#)

### 3.1 First Run

To configure the time zone, IP address, netmask, gateway, host name, and port number used for the ESKM Management Console interface, the following procedure must be performed once for each ESKM server. Ensure that the ESKM server is powered off before starting this procedure.

1. Power on the ESKM server by pressing the Power On/Standby button located behind the front bezel door.
2. When the startup sequence completes, the following prompt displays on the PC or laptop that is running the terminal emulator program (such as PuTTY):



To setup and configure PuTTY, please refer to [Accessing Serial Console via PuTTY](#).

Are you ready to begin setup? (y/halt):

Enter **y**.

3. Follow the prompts to enter the necessary information:



Press **Enter** to accept the default.

a. Admin account password. Be sure to record this value and store it in a safe place. The Security Officer will use the admin account to configure the ESKM servers.



Utimaco has no ability to assist or recover access if administrator credentials (username, password) are lost.

b. Time zone.

c. Date.

d. Time. The time is based on a 24-hour clock; there is no a.m. or p.m. designation. For example, 1:20 p.m. is 13:20:00.

e. The static IPv4 address of the ESKM server. The ESKM server cannot obtain an IP address from a Dynamic Host Configuration Protocol (DHCP) server.

f. Subnet mask.

g. Default gateway.

h. Hostname, including the domain. For example, eskm.example.com. The screen displays the information you entered and the message:

“Is this correct? (y/n):”

If the information displayed is correct, enter **y**; if not, enter **n** and make the necessary corrections.

i. Enable IPv6. If the ESKM server will be installed in an IPv6 network, enter **y** to the prompt and also the confirmation prompt. If the ESKM server will not be installed in an IPv6 network, or you wish to enable IPv6 later, enter **n**. If you entered **y**, you will be prompted to specify the IPv6 address. If you know the IPv6 address enter **y**, and then at the next prompt enter the IPv6 address with prefix in this format.

IPv6 address/prefix. The default prefix is /64.

If you do not know the IPv6 address, enter **n**. You can enter IPv6 addresses later using either the ESKM Management Console or Command Line Interface.



Only enable IPv6 if you are certain that the ESKM server is required to operate on an IPv6 network. Once enabled it cannot be disabled via the ESKM Management Console or the Command Line Interface.



Client systems can use IPv4 addresses to connect to the KMS and KMIP services running on the ESKM system. ESKM supports IPv6 addresses for clients that use either the KMIP or ESKM XML protocols, and are on the same subnet as the ESKM server. The following ESKM features, which utilize SCP to move files, support IPv6 addresses:

- backup, restore, scheduled backup, transfer logs, and software upgrade/install.

In addition, you can also use a server which has an IPv6 address to perform the following functions:

- remotely administer the ESKM server via the ESKM Management Console or the command line interface.
- perform network diagnostics (ping and netstat).



If you decide later, after completing the setup process, that you need to enable IPv6 support, you can use the Command Line Interface command **ipv6 enable**, to enable IPv6. You can then use the **ipv6 address** command or the ESKM Management Console interface to specify the IPv6 address.

j. Web interface port number.

k. Press **Enter** to complete and save the configuration settings.

At this point, you have given the setup program everything it needs. The ESKM creates SSH keys and also a self-signed Web Admin server certificate. They are used to authenticate the ESKM to users making SSH and Web Admin connections to the ESKM. Because the actual key is fairly large, the ESKM displays the key fingerprint on the console, as shown below.

```
Creating certificate for Web administration server...
```

```
Creating certificate for signing logs...
```

```
Creating SSH host keys...
```

```
SSH RSA key fingerprint:
2048 SHA256:aTp6A447vp8d0j43FTT5B/aux6V7zddPzNXxZB0C1SE
SSH ECDSA key fingerprint:
521 SHA256:BK0/EfVUKSFpIzVn/WiJ4fS+8CqLyGJSawoQAsvmUoM
SSH ed25519 key fingerprint:
256 SHA256:/hwJGM+7hzDRWPsyCP6/gKqWR99cgMh9/TV5WLTFIrs
Webadmin certificate fingerprint (SHA-1):
2048
64:50:e2:01:fb:2a:28:54:1a:3b:30:94:3b:25:b7:ff:97:73:13:70
Initializing key store. This could take several minutes. Performing KMIP setup
Starting services...
The Web-based Management Console will now be available at this URL:
<https://xxx.xxx.xxx.xxx:9443> This device has now been configured. Press Enter to
continue.
```

A log-in prompt displays.



To prevent a "man-in-the-middle" attack when connecting to the ESKM, Utimaco recommends that you write down these fingerprints and compare them with what is presented when you connect to the ESKM via SSH or HTTPS.



If necessary, you can install and specify a different server certificate for remote Web Administration. See the sub-section **Configuring the web admin server certificate**, which is located in section 4 of the Enterprise Secure Key Manager 5.1 User Guide.

4. Unplug the null modem cable from the laptop or PC and from the ESKM server. All additional configuration will be done from the ESKM Management Console.

## 3.2 Setting Up Local CA

The local CA is used to sign and verify the server certificate and may also be used to sign client certificate requests. To create and install a local CA, perform the following steps:

1. Log in to the ESKM Management Console using the admin username and the password you supplied in First run, step 3a.
2. Select the **Security** tab.
3. In **Certificates & CAs**, click **Local CAs**.
4. Enter information required by the Create Local Certificate Authority section of the window to create your local CA.

## Create Local Certificate Authority Help ?

<b>Certificate Authority Name:</b>	<input type="text" value="Your Local CA"/>
<b>Common Name:</b>	<input type="text" value="Your Local CA"/>
<b>Organization Name:</b>	<input type="text" value="Your Organization"/>
<b>Organizational Unit Name:</b>	<input type="text" value="Utimaco"/>
<b>Locality Name:</b>	<input type="text" value="Campbell"/>
<b>State or Province Name:</b>	<input type="text" value="CA"/>
<b>Country Name:</b>	<input type="text" value="US"/>
<b>Email Address:</b>	<input type="text" value="support@yourcompany.com"/>
<b>Algorithm:</b>	<input type="text" value="ECDSA-P256"/>
<b>Certificate Authority Type:</b>	<input checked="" type="radio"/> Self-signed Root CA CA Certificate Duration (days): <input type="text" value="3650"/> Maximum User Certificate Duration (days): <input type="text" value="3650"/> <input type="radio"/> Intermediate CA Request

Figure 1 : Create Local Certificate Authority

- a. Enter a **Certificate Authority Name** and **Common Name**. These may have the same value, for example ESKM Local CA.
- b. Enter your organizational information.
- c. Select the **Algorithm**. Utimaco recommends using an algorithm with security strength of at least 128 bits (e.g., ECDSA-P256).
- d. Click **Self-signed Root CA** and enter the **CA Certification Duration** and **Maximum User Certificate Duration**. These values determine when the certificate must be renewed and

should be set in accordance with your company's security policies. The default value for both is 3650 days or 10 years.

5. Click **Create**.
6. If the local CA will be used to sign ESKM client certificate requests, add the CA to the Trusted CA list.
  - a. In **Certificates & CAs**, click **Trusted CA Lists** to display the **Trusted Certificate Authority List Profiles**.
  - b. Click on the **Default** Profile Name (not the radio button).
  - c. In the **Trusted Certificate Authority List**, click **Edit**.
  - d. From the list of Available CAs in the right panel, select the CA you created in step 4. For example, **ESKM Local CA**.
  - e. Click **Add**.
  - f. Click **Save**.



Repeat the steps above any time another local CA is needed. For example, you may want to create a KMIP Local CA to support the KMIP Certify/Recertify operations.

#### Add a third-party CA certificate

If your client certificates were signed by a third-party CA, you must install the third-party CA certificate, and then add it to the Trusted CA list.

To install a third-party CA certificate, perform the following steps:

1. In **Certificates & CAs**, click **Known CAs** to display the **Install CA Certificate** section.
2. Enter a value for the Certificate Name and paste the CA certificate text in the **Certificate** field.
3. Click **Install**. The CA certificate will be added to the Known CAs list.

To add the third-party CA certificate to the Trusted CAs list, perform the following steps:

1. In **Certificates & CAs**, click **Trusted CA Lists** to display the **Trusted Certificate Authority List Profiles**.
2. Click on the **Default** Profile Name.
3. In the **Trusted Certificate Authority List**, click **Edit**.

4. From the list of Available CAs in the right panel, select the third-party CA you require.
5. Click **Add**.
6. Click **Save**.

### 3.3 Setting Up ESKM Certificate

ESKM server certificates are used by the client to authenticate the ESKM server during the TLS/SSL handshake. ESKM supports two types of clients. Clients that use the ESKM protocol are referred to as ESKM clients. Clients that use the KMIP protocol are referred to as KMIP-enabled clients. The ESKM clients communicate with the KMS server and KMIP-enabled clients communicate with the KMIP server.



During the execution of the Setup utility a default KMIP Server Certificate is automatically created. This certificate should only be used for testing purposes, as it is a self-signed certificate. If your ESKM system will be communicating with KMIP-enabled clients, Utimaco highly recommends that you create a new KMIP server certificate. The name you assign to these server certificates should clearly indicate their purpose. For example:

ESKM KMS Server and ESKM KMIP Server.



KMIP requires mutual authentication. After configuring the KMIP server, **enable** KMIP client certificate authentication. The KMIP client certificate authentication status is **disabled** by default.

If you will be using a third-party CA, and wish to use an existing server certificate, see Import a Third-Party Server Certificate.

To create an ESKM server certificate, perform the following steps:

1. Click the **Security** tab.
2. In **Certificates and CAs**, select **Certificates**.
3. Enter information required by the **Create Certificate Request** section of the window to create the ESKM server certificate.

## Create Certificate Request Help ?

Certificate Name:	ESKM
Common Name:	ESKM Server Certificate
Organization Name:	Utimaco Inc.
Organizational Unit Name:	Utimaco
Locality Name:	Campbell
State or Province Name:	CA
Country Name:	US
Email Address:	test@utimaco.com
Subject Alternative Name:	DNS: eskm_238.com, IP: 10.222.1
Algorithm:	ECDSA-P256 ▼

[Create Certificate Request](#)

Figure 2 : Create Certificate Request

- a. Enter a Certificate Name and Common Name, for example ESKM KMS Server.
- b. Enter your Organizational information.
- c. Enter the **Subject Alternative Name**, and **Algorithm**. Utimaco recommends using an algorithm with security strength of at least 128 bits (e.g., ECDSA-P256).
4. Click **Create Certificate Request**.
5. The Certificate List will include the newly created certificate, its status will be Request Pending. Click on the certificate name. For example, ESKM KMS Server.
6. Copy the certificate data, from -----BEGIN CERTIFICATE REQUEST----- to -----END CERTIFICATE REQUEST----- lines. This information will be used in step 10d of this section.

## Certificate Request Information

[Help ?](#)

<b>Certificate Name:</b>	ESKM
<b>Key Size:</b>	2048
<b>Subject:</b>	CN: ESKM Server Certificate O: Utimaco Inc. OU: Utimaco L: Campbell ST: CA C: US emailAddress: test@utimaco.com
<b>Subject Alternative Name:</b>	DNS: eskm_238.com IP Address: 10.222.178.238

```

-----BEGIN CERTIFICATE REQUEST-----
MIIDDzCCAfcCAQAwZkxIDAeBgNVBAMTF0VTS00gU2VydmVyIEN1cnRpZm1jYXR1
MRUwEwYDVQQKEwxVdG1tYWNvIEluYy4xEDA0BgNVBAeTB1V0aW1hY28xETAPBgNV
BAoTCENhbXB1ZWxsMQswCQYDVQQIEwJDQTELMakGA1UEBhMCVVMxHzAdBgkqhkiG
9w0BCQEWEHRlc3RAdXRpbWVfby5jb20wggEiMA0GCSqGSIb3DQEBAQUAA4IBDwAw
ggEKAoIBAQCm01rwBpnhz+rQOA3p7quPs240s0CMqm5hFPf1YNgh3CCa2oRDT5Ln
KfeBsI8GtuTH5v18v8rrz8jgsm4uLF5aJLsIMFK6rlmUyGumUrOd1K1xMYF50J
GFtOP6KukzucjU+IBE5uYI356C1FUABfVvPX88wn8P3DMkbCa4acVEbutOoONQeg
TD15WY50Feqku3s8D0Do9pz7uZFihJdMry5pscmLKSUKAsW8CUYwITiBw2pNAY1c
l++png/7FIavzVq5GI1/VPDTwqcAKi78qNMNaRFpgckBbKXG/qoWc+J7VQcqFKjY
i+JNh9PyLgGC20uMDY5E0+SEDLcrgmx/AgMBAAGgMDAuBgkqhkiG9w0BCQ4xITAf
MB0GA1UdEQQWMBSCDGvza21fMjM4LmNvbYcECT6y7jANBgkqhkiG9w0BAQsFAAOC
AQEAKA7CJz6AuQZ1gf+2BGO3ghbVt04EY7f+6vvo0Qr1i1FO9q6FXKmrkaUJRSXQ
aF7UGT8Kv0j+/sChLjuGk+i22iiCtqHtOmsZgYTCMAvmu9HSqkA60fmg4UH/ri6w
rFZE8lnZ341Q0bhtkRS+OidgA/KyQAU0YNzjYr9fXuu5M8xx4q+Kfj5MRCnXLGbb
rYgzFLVUDvcbawteMeucnmVB836wNITjKVL24Nci2Cwu6LjyZtTcCA1aaevX6Hm
sxJjZLmwvJxxU6sdXZUu8+GTMH59XgFj3BK5xiDtW4aHGEYo4Hog4RTBoFXKAuGt
L4ITARZ9zJyVsc8SYiG4k1z1Rg==
-----END CERTIFICATE REQUEST-----
    
```

[Download](#)
[Install Certificate](#)
[Create Self Sign Certificate](#)
[Back](#)

Figure 3 : Certificate Request Information



Key Size refers to the size of the key or elliptic curve associated with this certificate.

- In the **Certificates & CAs** menu, click **Local CAs**.
- Click on the CA name you created in Setting up local CA for example **ESKM Local CA**.
- Click **Sign Request**.

10. Enter data required by the Sign Certificate Request section of the window.

Figure 4 : Sign Certificate Request

- a. Select the CA name from the **Sign with Certificate Authority** drop down box. For example, ESKM Local CA.
  - b. Select **Server** as the Certificate Purpose.
  - c. Enter the number of days before the certificate must be renewed based on your site's security policies. The default value is 3649 days (10 years).
  - d. Paste the copied certificate data from step 6 into the **Certificate Request box**.
11. Click **Sign Request**.
  12. Copy the signed certificate data, from -----BEGIN CERTIFICATE to END CERTIFICATE--- lines. This information will be used in step 16.
  13. In the **Certificates & CAs** menu, click on **Certificates**.

14. Click on the certificate name created in step 3 of this section. For example, ESKM KMS Server.
15. Click **Install Certificate**.
16. Paste the signed certificate data from step 12, and then click **Save**. Note that the Certificate status is now Active.



Repeat all of the steps above for the KMIP server certificate. You must perform these steps on each ESKM server after joining the cluster.



The “certificate name” must remain same on all ESKM servers across the cluster.

### Import a third-party server certificate

An externally generated public/private key pair can be imported into the ESKM system for use as a server certificate. The encrypted private key data and the public key certificate must be present in the third-party server certificate file. For example:

```
-----BEGIN ENCRYPTED PRIVATE KEY-----  
  
MIIFDjBAB.....vvbKI=  
  
-----END ENCRYPTED PRIVATE KEY-----  
  
-----BEGIN CERTIFICATE-----  
  
MIIDhjCCA.....MKH9Fk  
  
-----END CERTIFICATE-----
```

In addition, the password for the private key file must be known.

To import a third-party server certificate, perform the following steps:

1. In **Certificates & CAs**, click **Certificates** to display the **Import Certificate** section.
2. Provide the source location of the certificate file.
3. Enter the Certificate Name and private key password.
4. Click **Import Certificate**.

## 3.4 Setup Cluster

The procedures in this section will establish a cluster configuration on one ESKM server and then transfer that configuration to the remaining ESKM servers.



If you only have one ESKM server, skip this section.

- In [Creating the Cluster](#), the cluster is created on one ESKM server.



Skip this section if you already have an ESKM cluster.

- In [Adding ESKM Servers to the Cluster](#), each of the additional ESKM servers will be added to the cluster.

### 3.4.1 Creating the Cluster

To create the cluster, perform the following steps on one of the ESKM servers to be clustered:

1. From the ESKM Management Console, click the **Device** tab.
2. In the **Device Configuration** menu, click **Cluster**.

**Create Cluster** [Help ?](#)

**Local IP:** 10.222.179.247 ▼

**Local Port:** 9001

**Cluster Password:** .....

**Confirm Cluster Password:** .....

**Create**

Figure 5 : Create Cluster

3. If required, change the **Local IP** value. If you have enabled Ethernet#2 you can use its IP address for clustering.



All ESKM servers in a cluster must use an IPv4 address for the cluster.

4. If required, change the **Local Port** value. Utimaco recommends using the default value of 9001.
5. Choose a cluster password and enter it into the Cluster Password field. Enter the password a second time into the Confirm Cluster Password field.
6. Click the **Create** button.
7. In the **Cluster Settings** section of the window, click **Download Cluster Key** and save the key to a convenient location, such as your computer’s desktop.

The cluster key is a text file and is only required temporarily. It may be deleted from your computer’s desktop after all ESKM servers have been added to the cluster.

### 3.4.2 Adding ESKM Servers to the Cluster

To setup ESKM servers to the cluster, perform the following steps in the **Join Cluster** section on each additional ESKM server.

## Join Cluster

Help ?

---

**Local IP:**

---

**Local Port:**

---

**Cluster Member IP:**

---

**Cluster Member Port:**

---

**Cluster Key File:**

 eskm\_cluster

---

**Cluster Password:**

Figure 6 : Join Cluster



Adding multiple ESKM servers to the cluster is a serial process. Add the first ESKM server and then monitor the system log for the status of the synchronization process. Wait until the “**Cluster synchronization succeeded.**” message appears in the system log before attempting to add the next ESKM server to the cluster. The amount of time required to complete the synchronization process is a function of the number of keys in the cluster.



If the new ESKM server is a replacement and is configured with the same IP address as the failed ESKM server, make sure the client does not send any key generation requests until the new ESKM server has successfully completed the cluster synchronization process. Alternately, you can stop the KMS and KMIP servers and then start them once the cluster synchronization process is complete. Use the system log to monitor the progress of the cluster synchronization process.

1. Join the ESKM server to the cluster.
  - a. Select the **Device** tab.
  - b. In the **Device Configuration** menu, click on **Cluster**.
  - c. In the **Join Cluster** section of the window, select the appropriate **Local IP** value and then input the appropriate value for the **Local Port**.



All ESKM servers in a cluster must use an IPv4 address for the cluster.

- d. Type the original cluster member’s IP into **Cluster Member IP**.
- e. Type the original cluster member’s port into **Cluster Member Port**. The default value of this port is 9001. If this value was changed in while creating the cluster, use that value.
- f. Click **Browse** and select the **Cluster Key File** you saved in while creating the cluster.
- g. Type the cluster password into **Cluster Password**.
- h. Click **Join**.
- i. Click **Confirm** to synchronize with the cluster.



If the ESKM server joining the cluster is SSL enabled, this step will cause the WebAdmin service and the KMS and KMIP servers to restart, resulting in a temporary connection loss. To restore the connection, refresh the browser.

2. After adding all members to the cluster, you can then delete the cluster key file from the desktop.
3. After clustering the ESKM servers, follow the steps in [Setting up ESKM Certificate](#) to create and install the server certificates on each ESKM server that has joined the cluster. Depending on the KMS and KMIP configuration, two server certificates may need to be created for each ESKM server in the cluster. **Be sure to use the same server certificate name** as specified under KMS Server Settings and KMIP Server Settings.
4. After creating the KMIP server certificate you must manually restart the KMIP server. Go to the Services List section of the Services Configuration page (**Device -> Maintenance -> Services -> KMIP Server**).
5. Go to the Services List section (**Device > Services**) and start the KMIP server.

### 3.5 Setup KMIP Server

Skip this section if your ESKM system will not be communicating with KMIP-enabled clients.

The KMIP server provides the interface to clients that use the KMIP protocol. Transport Layer Security (TLS) is required, therefore you must specify the name of the server certificate.

To configure the KMIP server, perform the following steps:

1. Select the **Device** tab.
2. In the **Device Configuration** menu, click **KMIP Server** to display the **KMIP Server Configuration** window.
3. In the **KMIP Server Settings** section of the window, click **Edit**.
4. Configure the KMIP Server Settings. The IP address can be an IPv4 address, or IPv6 address. If support for IPv6 has been enabled, see First run. If necessary, change the Port and Connection Timeout values. Utimaco recommends the default values of 5696 for the Port and 3600 for the Connection Timeout. For **Server Certificate**, select the name of the certificate you created in Setting up ESKM certificate. For example, ESKM KMIP Server.



If your ESKM server is operating in FIPS compliant mode, you must specify a KMIP server certificate that complies with the FIPS requirements.



If your ESKM servers are in a cluster and you are selecting a new KMIP server certificate from the "Server Certificate:" field, you must make sure that all of the ESKM servers in the cluster already have a KMIP server certificate installed with this same name.



If your ESKM server will support the KMIP Certify or Re-certify operations you must specify the name of a Local CA that will be used to create the certificate. In addition, you must set the KMIP user group permissions for these operations to enabled. For more information on setting KMIP user group permissions, see the KMIP Permission model description, which is located in section 3 of the *Enterprise Secure Key Manager User Guide*.

## KMIP Server Settings

[Help ?](#)

<b>IP:</b>	<input type="text" value="[All]"/>
<b>Port:</b>	<input type="text" value="5696"/>
<b>Server Certificate:</b>	<input type="text" value="kmip_server"/>
<b>Local CA Certificate for Certify/Re-certify:</b>	<input type="text" value="[Disabled]"/>
<b>Connection Timeout (sec):</b>	<input type="text" value="360"/>
<b>Default number of items returned in Locate:</b>	<input type="text" value="100"/>
<b>Maximum number of items returned in Locate:</b>	<input type="text" value="1000"/>

Figure 7 : KMIP Server Settings

5. Click **Save**.



Changing the KMIP server setting causes the KMIP server to restart.

6. Confirm that the KMIP server is started.
  - a. Go to the Services List section of the Services Configuration page (**Device -> Maintenance -> Services -> KMIP Server**).
  - b. The status of the KMIP server should be Started. If the status is Stopped, select the KMIP Server, and then click **Start**.



During the execution of the Setup utility a default KMIP Server Certificate is automatically created. This certificate should only be used for testing purposes, as it is a self-signed certificate. If your ESKM system will be communicating with KMIP-enabled clients, Utimaco highly recommends that you create a new KMIP server certificate. The name you assign to these server certificates should clearly indicate their purpose. For example:  
 ESKM KMS Server and ESKM KMIP Server.



KMIP requires mutual authentication. After configuring the KMIP server, **enable** KMIP client certificate authentication. The KMIP client certificate authentication status is **disabled** by default.

To enable KMIP client certificate, perform the following steps.

1. In the **KMIP Server Authentication Settings** section of the window, click **Edit**.

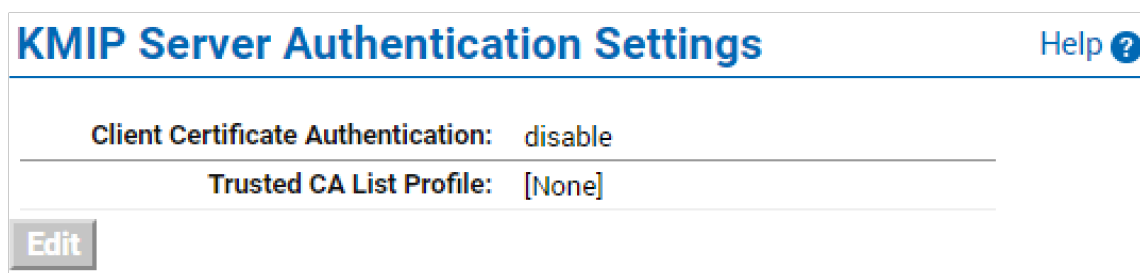
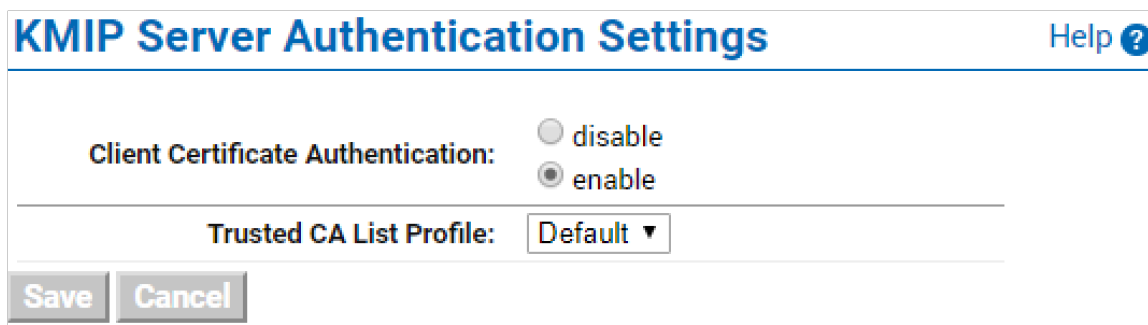


Figure 8 : KMIP Server Authentication Settings

2. Click **enable**, select the appropriate Trusted CA list and click **Save**.



**KMIP Server Authentication Settings** Help ?

**Client Certificate Authentication:**  disable  enable

**Trusted CA List Profile:** Default ▼

Save Cancel

Figure 9 : KMIP Server Authentication Settings - enable

### 3.6 Setup KMS Server

The KMS server provides the interface to clients that use the KMS protocol. Secure Sockets Layer (SSL) is required, therefore you must specify the name of the server certificate.

To configure the KMS server, perform the following steps:

1. Select the **Device** tab.
2. In the **Device Configuration** menu, click **KMS Server** to display the **KMS Server Configuration** window.
3. In the **KMS Server Settings** section of the window, click **Edit**.
4. Configure the KMIP Server Settings. The IP address can be an IPv4 address, or IPv6 address. If support for IPv6 has been enabled, see First run. If necessary, change the Port and Connection Timeout values. Utimaco recommends the default values of 9000 for the Port and 3600 for the Connection Timeout. For **Server Certificate**, select the name of the certificate you created in Setting up ESKM certificate. For example, ESKM KMS Server.

### KMS Server Settings Help ?

---

IP:

---

Port:

---

Use SSL:

---

Server Certificate:

---

Connection Timeout (sec):

---

Allow Key and Policy Configuration Operations:

---

Allow Key Export:

---

Figure 10 : KMS Server Settings

5. Click **Save**.
6. Confirm that the KMS server is started.
  - a. Go to the Services List section of the Services Configuration page (**Device -> Maintenance -> Services -> KMS Server**).
  - b. The status of the KMS server should be Started. If the status is Stopped, select the KMS Server, and then click **Start**.

To enable KMIP client certificate, perform the following steps.

1. In the **KMS Server Authentication Settings** section of the window, click **Edit**.

### KMS Server Authentication Settings Help ?

---

User Directory: Local

---

Password Authentication: Required

---

Client Certificate Authentication: Not used

---

Trusted CA List Profile: [None]

---

Username Field in Client Certificate: [None]

---

Require Client Certificate to Contain Source IP:

---

Figure 11 : KMS Server Authentication Settings

2. Click appropriate option under **User Directory**, **Password Authentication**, and **Client Certificate Authentication**. Select the appropriate Trusted CA list, and Username in Client Certificate and click **Save**.

### KMS Server Authentication Settings Help ?

---

<b>User Directory:</b>	<input checked="" type="radio"/> Local <input type="radio"/> LDAP
<b>Password Authentication:</b>	<input type="radio"/> Optional <input checked="" type="radio"/> Required (most secure)
<b>Client Certificate Authentication:</b>	<input checked="" type="radio"/> Not used <input type="radio"/> Used for SSL session only <input type="radio"/> Used for SSL session and username (most secure)
<b>Trusted CA List Profile:</b>	[None] ▼
<b>Username Field in Client Certificate:</b>	[None] ▼
<b>Require Client Certificate to Contain Source IP:</b>	<input type="checkbox"/>

Figure 12 : KMS Server Authentication Settings

## 4 Configuring the vCenter

Utimaco's Enterprise Secure Key Manager (ESKM) is a most versatile scalable key manager to securely manage encryption keys across the enterprise. The ESKM can use its native protocol (KMS – Key Management Service) or industry-standard OASIS KMIP (Key Management Interoperability Protocol) for its client integrations.

This integration guide concentrates on enabling client-side encryption for vSAN and centralized key management to simplify security operations like compliance auditing, centralized key management and policy execution along with enforcement.



This section is not a substitute for VMware documentation. Should this section offer different instructions than VMware's documentation, follow the instructions issued by VMware.

### 4.1 Pre-requisites for Integration

- Requires minimum VMware ESXi version 6.5, or later.
- Requires minimum vCenter version 6.5, or later.
- Enterprise Secure Key Manager v5.2, or later.



For more information about VMware's documentation, refer to [www.vmware.com/support/pubs](http://www.vmware.com/support/pubs).

#### Configure ESKM for Integration

The steps below illustrate the configurations using a VMware vSphere Web Client.

1. Open a web browser and enter the vSphere Web Client URL.
2. Go to Configure > Key Management Servers.



The screenshots used in the following sections, are captured from vSphere version 6.7.

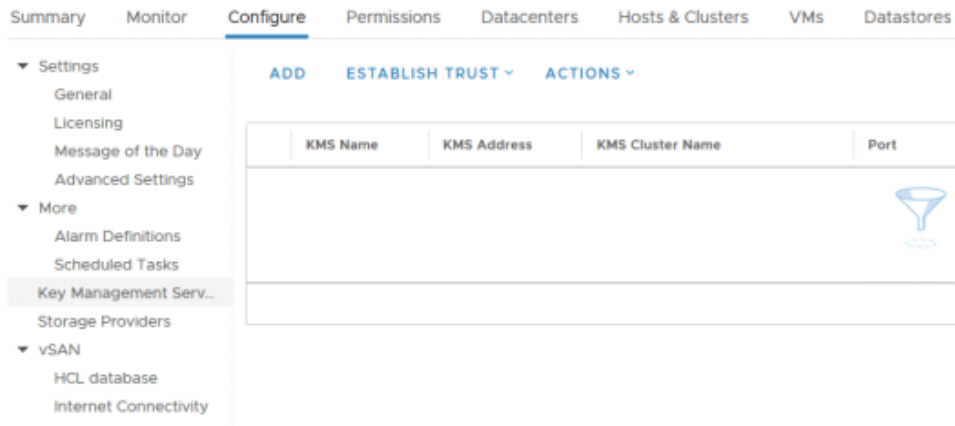


Figure 13 : Configure

3. Click on **ADD**.
4. Enter the following details to add a new Key Management Server (ESKM).

Filed Name	Details
KMS cluster	Select the <Create new cluster> from the drop down.
Cluster name	Enter the key server cluster name.
Server alias	Enter the key server name.
Server address	Enter the IP address of the configured ESKM.
Server port	KMIP port number 5696.
Proxy address	Do not enter anything.
Proxy port	
User name	
Password	

Table 2: New ESKM

### Add KMS ×

KMS cluster	Create new cluster <span>▼</span>
New cluster name	Utimaco ESKM Cluster
	<input type="checkbox"/> Make this the default cluster
Server name	Server1
Server address	10.10.10.10
Server port	5696
Proxy address	Optional
Proxy port	Optional
User name	Optional
Password	Optional

Figure 14 : Add KMS

5. Review the input information and click **ADD**.

## 5 Integration

This section provides the step-by-step procedure of integrating ESKM with VMware.

### 5.1 Establish Trust

1. Click **TRUST** in the “Make vCenter Trust KMS” window and click on “MAKE KMS TRUST VCENTER”.

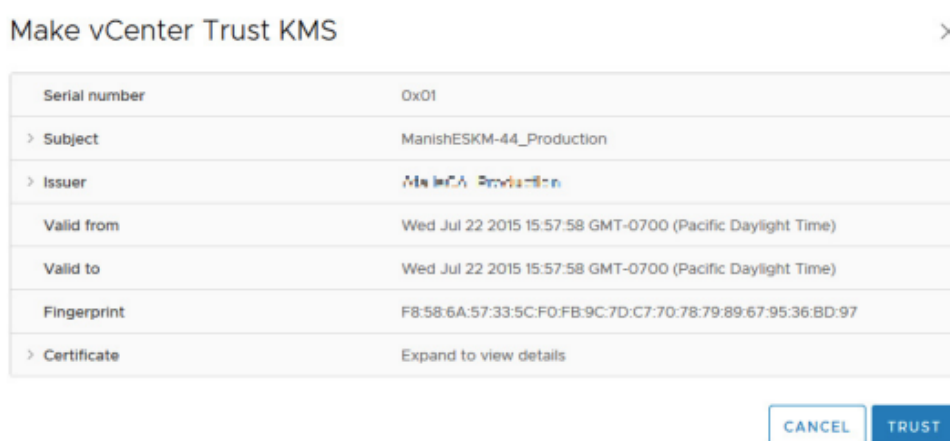


Figure 15 : Make vCenter Trust KMS

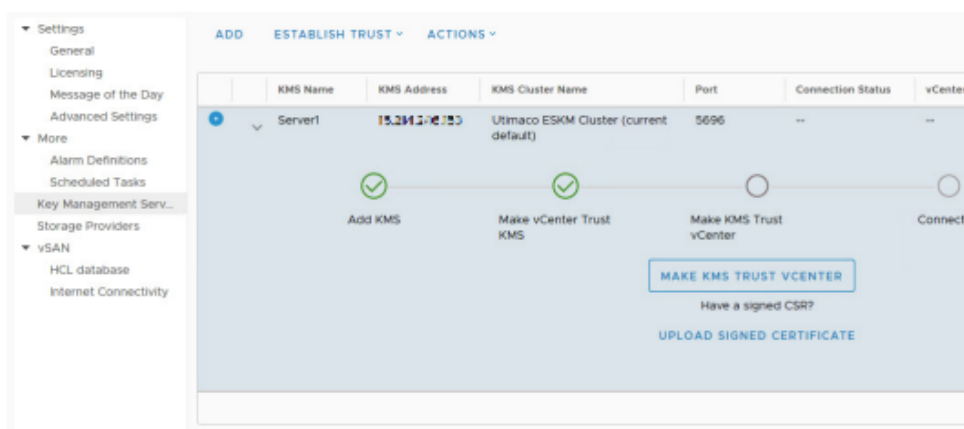


Figure 16 : Make KMS Trust vCenter

2. Navigate to Choose a method, Select “New Certificate Signing Request (CSR)” and click **NEXT**.

The screenshot shows a dialog box titled "Make KMS trust vCenter" with a close button (X) in the top right corner. On the left, there is a sidebar with two steps: "1 Choose a method" (highlighted) and "2 Establish Trust". The main area is titled "Choose a method" and contains the following text: "Choose a method to make the KMS trust the vCenter based on the KMS vendor's requirements. Once the trust is established, all replicas in the same KMS cluster will also trust the vCenter." Below this text are four radio button options:

- vCenter Root CA Certificate  
Download the vCenter root certificate and upload it to the KMS. All certificates signed by this root certificate will be trusted by the KMS.
- vCenter Certificate  
Download the vCenter certificate and upload it to the KMS.
- KMS certificate and private key  
Upload the KMS certificate and private key to vCenter.
- New Certificate Signing Request (CSR)  
Submit the vCenter-generated CSR to the KMS then upload the new KMS-signed certificate to vCenter.

At the bottom right of the dialog, there are two buttons: "CANCEL" and "NEXT".

Figure 17 : Choose a Method

3. In "Submit CSR to KMS", click on COPY to copy the certificate. Alternatively, click on DOWNLOAD to download the certificate.

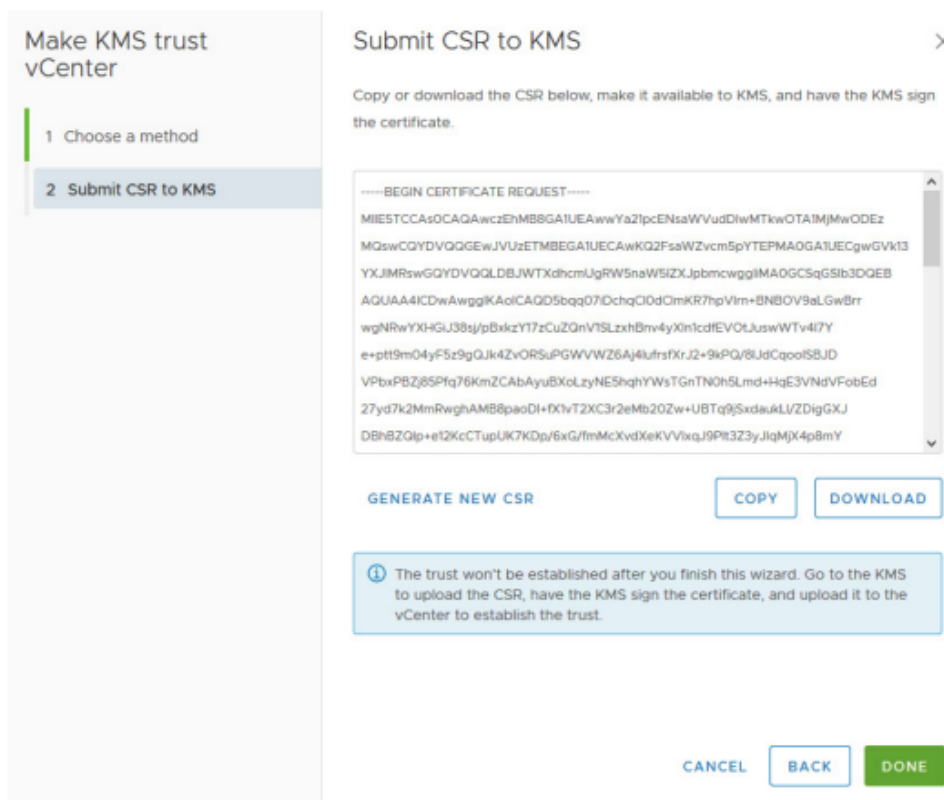


Figure 18 : Submit CSR to KMS

4. Click on **DONE**.



Figure 19 : Click 'Done'

5. Go to ESKM and click on **Security > Certificates & CAs > Local CAs**.

6. Select the CA, and then click **Sign Request**.

### Local Certificate Authority List

Help ?

CA Name	CA Information	CA Status
<input checked="" type="radio"/> <a href="#">ESKM.CA</a>	Common: Test Issuer: Utimaco Inc. Expires: Oct 15 17:17:14 2029 GMT	CA Certificate Active
<input type="button" value="Edit"/> <input type="button" value="Delete"/> <input type="button" value="Download"/> <input type="button" value="Properties"/> <input type="button" value="Sign Request"/> <input type="button" value="Show Signed Certs"/>		

Figure 20 : Local Certificate Authority List

- Set "Certificate Purpose" to Client.
- Paste the certificate request generated by the client application into the certificate request field.
- Click Sign Request.

### Sign Certificate Request

Help ?

Sign with Certificate Authority:

Certificate Purpose:
   
 Server
   
 Client
   
 Server and Client

Certificate Duration (days):

Certificate Request:

```
VQQKEwd1dG1tYwNvMQ8wDQYDVQQLewZhdGFsbGEwEDAOBGNVBAHUB2Vza21fY2
ExKTAAnBgkqhkiG9w0BCQEWGnN1cHBvcnQtYXRhbGxhQHV0aW1hY28uY29tMB4X
DTE5MDcyNTIwNDUzNFoXDTI5MDUxODIwNDUzNFowYkxCzAJBgNVBAYTA1VTMQ
swCQYDVQQIEwJDTESMBAGA1UEBxMjU3Vubn12YXwxMRUwEwYDVQQKEwxVdG1t
YwNvIE1uYy4xEDA0BgNVBAStB1V0aW1hY28uXzANBgNVBAHUBkVTS01fMTEfMB
0GCSqGSIb3DQEJARYQdGVzdEB1dG1tYwNvLmNvbTBZMBMGByqGSM49AgEGCCqG
SM49AwEHA0IABJBadPu90SutnKL5JwiRLib98P3hh4X3bqWcH2VQoz3jkmYgg3
+XnU3Z7r/H7WGGXnPct+XnvlRUTnZcGeYxXZ+jSTBHMAwGA1UdEwQFMAMBAF8w
EQYJYIZIAAYb4QgEBBAQDAGbAMCQGA1UdEQQdMBuCE2Vza20yMzgudXRpbWVjby
5jb22HBMCoAu4wDQYJKoZIhvcNAQELBQADggEBAEI1K3Kc03BB+5Xh1hhicENW
n87Mm20nKVk1FZ5ycLxHRXJGULcDD9K1K1K1HqqnpdzLDeH/0W4m8+/Sg00s2b
Qf5FGn7SVYrVR5Bt10Bt/IP7YpxIJ1/j/G97PkjkCmbajbadjXzcrmom7V1TZP
Ok5DTuAm5aufSiCukhSjJ2u0WiK2aqbt/NGtRatxx9q92qW470tEXfOTcHD8IR
4960MsGPj1Pf1tM6xoybx34SfWbJh9Du0Mg+L6wx1irfv8oEk8ny2w8C+vARUj
3Tde0zRnZkSUEixJLrD8bN17TN9jB1vj+E34B5GMTFuvvgjBkQ6V5NzQfoN8CAC
```

Figure 21 : Sign Certificate Request

## CA Certificate Information

<b>Key Size:</b>	4096
<b>Start Date:</b>	Aug 28 07:01:26 2019 GMT
<b>Expiration:</b>	Aug 25 07:01:26 2029 GMT
<b>Issuer:</b>	C: US ST: California L: Campbell O: Utimaco OU: Utimaco Engineering CN: ESKM emailAddress: mail@utimaco.com
<b>Subject:</b>	C: US ST: California O: VMware OU: VMware Engineering CN: kmipClient20190829065844

```
-----BEGIN CERTIFICATE-----
MIIEEwDCCA61gAwIBAgIBAzANBgkqhkiG9w0BAQsFADCB1TELMAkGA1UEBhMCVVMx
EzARBgNVBAGTCkNhbG1mb3JuaWEzETAPBgNVBAcTCENhbXB1ZWx0MR4wDgYDVQK
EwdVdG1tYWNvMRwwGgYDVQQLExNVdG1tYWNvIEVud21uZWVyaW5nMQ0wCwYDVQOD
EwRFU0tNMR8wHQYJKoZIhvcNAQkBFhBtYWI1eQHV0aW1hY29uY29tMB4XDTE5MDgy
```

Figure 22 : CA Certificate Information

10. Please note down the Common Name (CN) from the certificate information page and download the certificate.
11. Open the Management Console of the ESKM and navigate to **Security > Local Users & Groups > Local Users**.
12. At the bottom of the list, click **Add**.
13. The **Create Local User** window appears.
14. Create a KMIP local user in ESKM and provide the signed certificate content.



The "Username" must match with the noted "Common Name (CN)".

## Create Local User

### Create Local User

[Help](#)

Username:	kmpClient20190829065844
Password:	*****
Confirm Password:	*****
User Administration Permission:	<input checked="" type="checkbox"/>
Change Password Permission:	<input checked="" type="checkbox"/>
Enable KMIP:	<input checked="" type="checkbox"/>
Map non-existent Object Group to x-Object Group:	<input type="checkbox"/>
KMIP User Group:	default user group ▼
KMIP Object Group:	default object group ▼
KMIP Client Certificate:	
<div style="border: 1px solid black; height: 20px;"></div>	

Figure 23 : Create Local User

### Selected Local User

[Help](#)

Username:	kmpClient20190829065844
Password:	*****
User Administration Permission:	<input checked="" type="checkbox"/>
Change Password Permission:	<input checked="" type="checkbox"/>
Enable KMIP:	<input checked="" type="checkbox"/>
Default KMIP Object Group:	default object group
C: US ST: CA Subject: L: Campbell O: Utimaco Inc. emailAddress: test@utimaco	
Client Certificate:	Common Name: ESKM Server Certificate
	Not Valid Before: Oct 17 17:19:08 2019 GMT
	Not Valid After: Oct 14 17:19:08 2029 GMT
Date Created:	2019-10-18 15:55:58
Date Last Modified:	2019-10-19 04:25:58

KMIP Client Certificate Contents:

```
-----BEGIN CERTIFICATE-----
MIIDFjCCARYgAwIBAgIBATAKBggqhkjOPQQAjCBhjELMAkGA1UEBhMCVVMx
CzAJBgNVBAGTAkNBHREwDwYDVQQHEwhDYW1wYmVsbDEVMBMGA1UEChMMVXRp
bWV5byBj
```

Figure 24 : Selected Local User

15. Go to vCenter and click on “Upload Signed Certificate”.

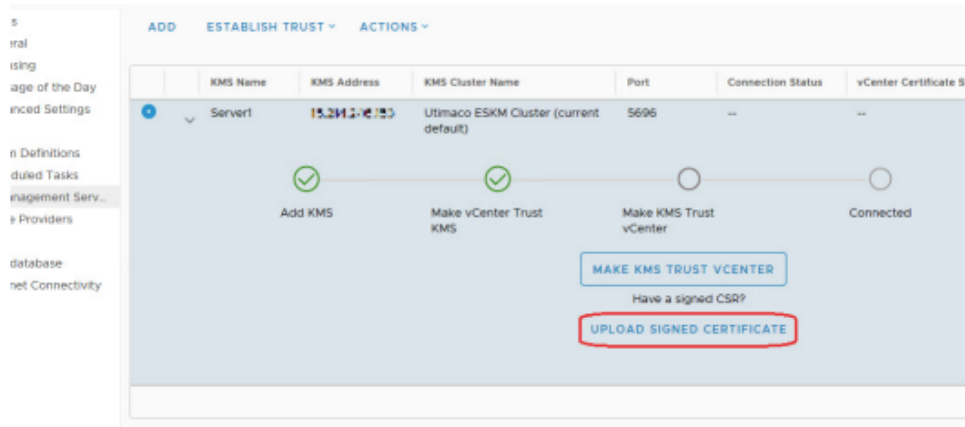


Figure 25 : Upload Signed Certificate

16. Click UPLOAD A FILE and select the downloaded certificate from ESKM.

## Upload Signed CSR Certificate



UPLOAD A FILE

```
t88l6v7fxXn/LwOn9AA71vAGjf36Y1uUgZgg/k3daz3XkorAD4lwa2sQIBKRQ0bc
AkUtS5QRLnO1hNRrPdoq2+R6tmQv/N3FruVYooJPG35cDFzWJV+QVv8JVHUzPHkw
H/LcSI2OIR5dfAiqUhp/wmBd1Yj3q2ZkXi5A7jmAnu6HpR+82jHblvUbUuXy4zHD
y4b7Qur2GO8Jacf8hb5XRslEa5HL9DqFOEK8HuFYEwnLxrAYTqf3A2gmrjA5+2C
J2wRZpOF8IG929Oxl3cwh+lqjh7PF5Q5+S+kh4k6YEU7uMd4sQIDAQABozwwOJAJ
BgNVHRMEAjAAMBEGCWCgsAGG+EIBAQQEAwIHgDAaBgNVHREEEzARgQ92bWNhQHZt
d2FyZS5jb20wDQYJKoZIhvcNAQELBQADggIBAF6DPGYHUTOM7EF28rbeOjgNUtJ4
jL8JmSTPObjlU5DD/ywOXrRgc2Q4fsZy15fsXYkiLT2JK+ku0H3soj7gUVn0Wm3f
EuowFFXT7Y/OPZyWYV9rQoflflMrpuf5VqdvMdD94k5fVgCZzLT5xBW+YNhxG+mc
doiT6i7JyTVuxdYaxDhStuWgwacOaBd0Esslw9EjFpcEOzCrAHYN/LO0aZDCrjym
/BgXb/vhySMtM9TTA/qEbRyHr09NhipAa7TDDXWcG8HyNozGfWMODH911WPtOfR
vV8w468SQSIDPLeFJtCwvb+YY1qr0EG4vR56HRalUX6LzAZH9gF67FvZ27QTAXWB
4mSf5nbiCagppj5vScKtpCvTUdRThP/GQkd4BLnGNoK00kh8OIP4OAAeof7HdIDM
OE2XLO4jtgtYWYbEzYIAK8zyjYwn7kNwX0kdkx+MxMDLc6F3EBBL0Gbh3TC6wXyz
3PE+Y0SdlqNTCw1kG+PqRAFd85+k7dQDnehjIQPhpZJVcOxmzcVuP49apV6XATaW
KGRUPbmeg1f3VTbJ9HUel7c/PZXA/0THhyqTWNiaaZ77NUJRpydFX+UZ4d6N8xFT
T/RhPIBkd8YQfTjYqefKlcZK1DOeMbgtkuzDwfbD0pkzeRLSU51cgG8OrRBzjn7M
fxVBBypO7+xWsX6j
```

CANCEL

UPLOAD

Figure 26 : Upload Signed CSR Certificate

17. Click on **UPLOAD** and confirm trust.
18. Confirm that the ESKM server is accessible.

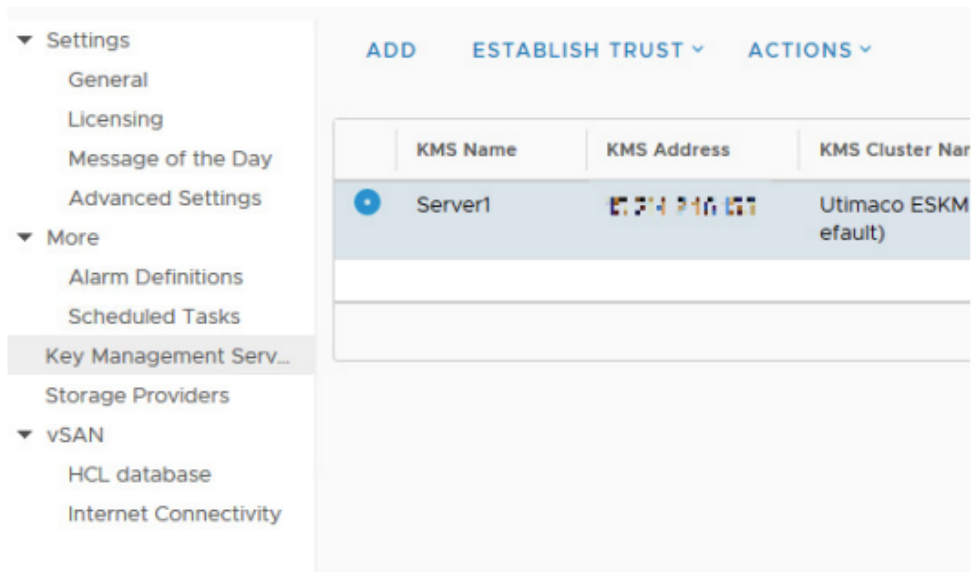


Figure 27 : Server1

19. Click on **ADD** again to add another ESKM server to the existing cluster and allow failover.
20. Enter the details to add a Key Management Server (ESKM).

### Add KMS ×

KMS cluster	Utimaco ESKM Cluster (current default) ▾
Server name	Server 2
Server address	10.10.10.10
Server port	5696
Proxy address	Optional
Proxy port	Optional
User name	Optional
Password	Optional

CANCEL
ADD

Figure 28 : Add KMS

21. Review the input information and click **ADD**.
22. Click **TRUST** to make the vCenter trust KMS.

### Make vCenter Trust KMS ×

Serial number	Ox16
> Subject	HelionESKM2
> Issuer	10.10.10.10
Valid from	Tue Sep 22 2015 10:51:46 GMT-0700 (Pacific Daylight Time)
Valid to	Tue Sep 22 2015 10:51:46 GMT-0700 (Pacific Daylight Time)
Fingerprint	EE:23:D3:00:77:0A:76:84:67:D9:E8:78:FD:BC:57:D9:54:6E:BC:F6
> Certificate	Expand to view details

CANCEL
TRUST

Figure 29 : Make vCenter Trust KMS

23. Confirm both the ESKM servers are accessible.

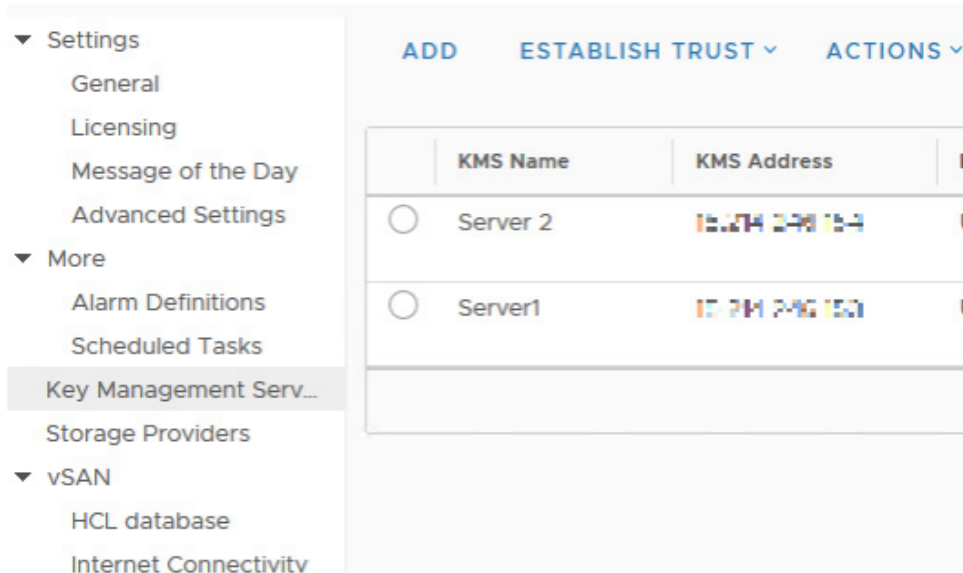


Figure 30 : Server1 and Server 2

ESKM will be successfully integrated with VMware by following the procedure described above. Please follow the VMware policy guidelines to encrypt the VMs/ VSAN.

## 6 Accessing Serial Console via PuTTY

Use the following steps to set up PuTTY and access serial console.

1. Navigate to device manager and figure out the COM port that you'll be using.

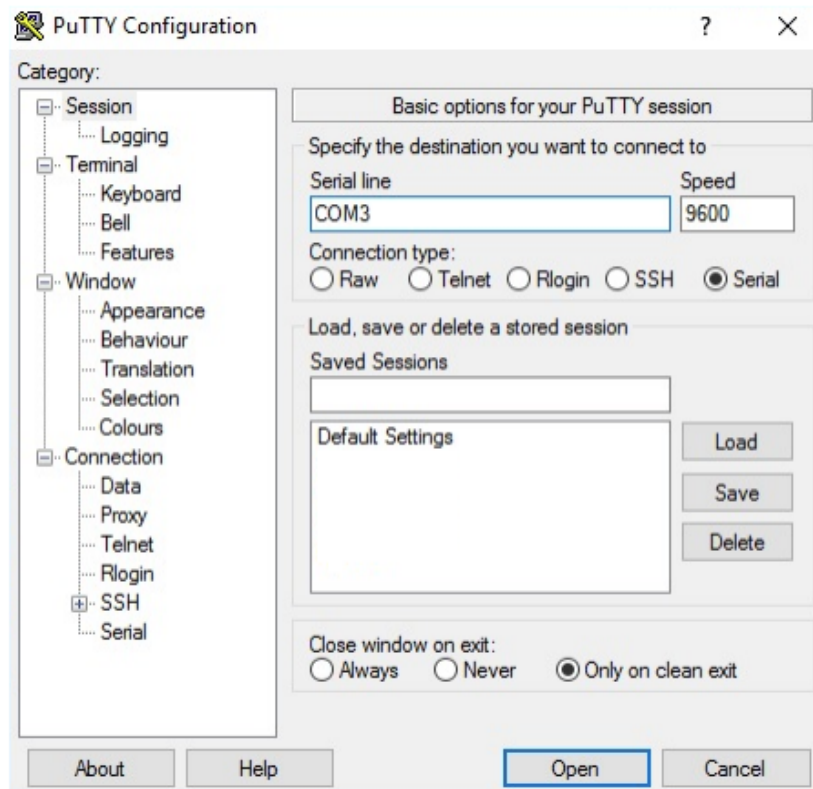


Figure 31 : PuTTY Configuration

2. Run PuTTY.
3. Switch the Connection Type to Serial.
4. Edit the Serial Line to match the COM port you want to use.

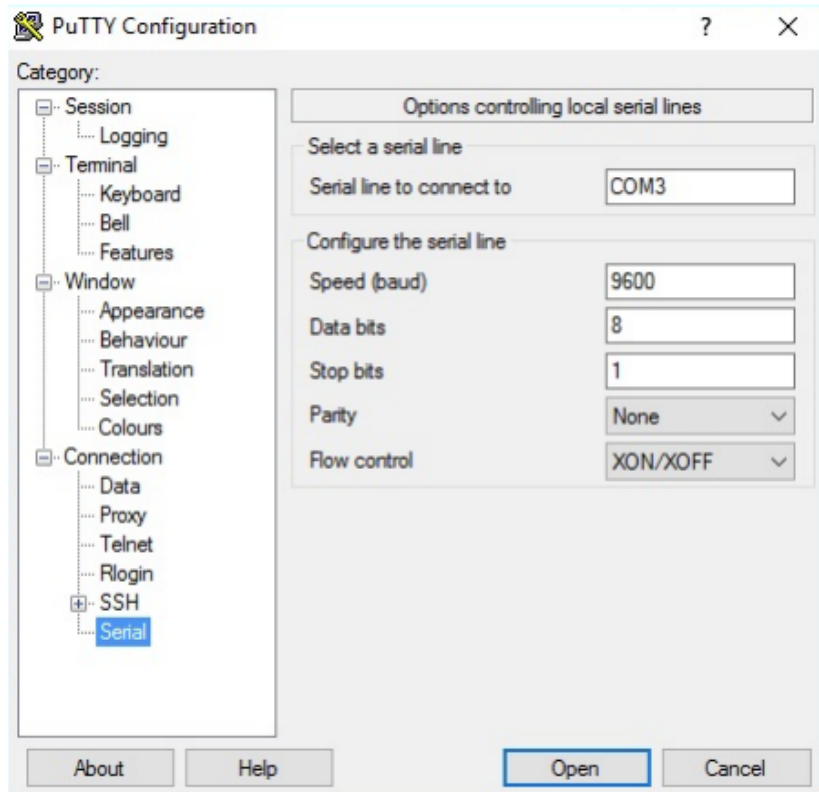


Figure 32 : Serial line

5. Make sure all of the settings are correct.
6. Click **Open** to start the session.

## 7 Obtaining Technical Support

### 7.1 Utimaco Technical Support

For technical questions, contact Utimaco Technical Support:

- E-mail: [support-atalla@utimaco.com](mailto:support-atalla@utimaco.com)
- Telephone: 800-500-7858 (U.S.A.) +1-916-414-0216 (International)
- Website: <https://support.utimaco.com/>

Before contacting Utimaco with your questions, collect the following information:

- Product model names and numbers
- Technical support registration number or NonStop system number (if applicable)
- Service Agreement ID number (SAID)
- Product serial numbers
- Error messages
- Software version number

### 7.2 24-hour support

24-hour emergency support is available to those customers who have valid service contracts. Use this service for product and system emergencies that occur after normal working hours or on weekends and U.S. holidays. Questions about product installation and setup are supported during normal working hours.

For 24-hour emergency support call: 800-500-7858 (U.S.A.) +1-916-414-0216 (International)